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Resilient nations.*

Interoffice Memorandum

To: Haoliang Xu
Assistant Administrator and
Director, RBAP

From: 
Titon Mitra
Country Director
UNDP Philippines

Subject: **Request for Direct Implementation Modality (DIM) of the Project “Peace and Reconciliation Initiatives for Empowerment in the Bangsamoro” or PRIME- Bangsamoro (03 May 2016 to 31 December 2018)**

I would like to seek your approval for the direct implementation of the Project ‘Peace and Reconciliation Initiatives for Empowerment in the Bangsamoro’ (Project ID 87405), which is funded by Australia’s Department of Finance and Trade (DFAT-Australia). The peacebuilding project seeks to support capacity-building for sustaining the transformation of the Moro Islamic Liberation Front (MILF) and Moro National Liberation Front (MNLF) towards active engagement in political processes as well as contribute to efforts to prevent violent extremism.

The request for direct implementation is primarily based on the sensitiveness of the Peace Process—i.e. an independent and neutral party is required to implement the peacebuilding project. As such, the CO will have to take on the role of implementing party as it is constrained by political sensitivities to engage a national government agency partner for this project.

I would be grateful for your approval.

Annexes:

- 1 Project Document – Project PRIME-Bangsamoro
- 2 Assessment of CO Capacity for Direct Implementation

UNDP Philippines assessment of capacity for direct implementation at country level¹

As of 6 February 2017

AREAS OF ASSESSMENT	SUGGESTED MEASURES TO GAUGE CAPACITY (TO SUPPLEMENT EXISTING OVERSIGHT INDICATORS)	RESPONSES
PART I. PROGRAMME AND PROJECT MANAGEMENT CAPACITY		
1.1 Management capacity		
<i>Planning and budgeting</i>	<ul style="list-style-type: none"> No. of projects per project focal point (programme officer, specialist, etc.) – average numbers Risk management plan updated at time of assessment [Y/N] Total programme delivery rate during the previous calendar year above 70 per cent [Y/N] [FOR COUNTRY OFFICES ONLY] Number of months of XB reserve 	<ul style="list-style-type: none"> Team Leader: 10.8; Programme Analyst: 4.4; Programme Associates: 3.2; Programme Assistants: 7.4 Y Y This is no longer applicable given that RBAP collects 80% of CO XB
<i>Supervision, review, and reporting</i>	<ul style="list-style-type: none"> Sound programme and project management based on BSC [Y/N] Programme/project monitoring system in place [Y/N] 	<ul style="list-style-type: none"> Y Y
1.2 Substantive capacity		
<i>Technical knowledge and resources</i>	<ul style="list-style-type: none"> Dedicated advisory support available (e.g. at a regional service centre) [Y/N] Plans/ToR and budget to recruit additional expertise to support technical/ substantive aspects of project [Y/N] Highly satisfactory / moderately satisfactory rating of decentralized evaluation (if and when information is available) [Y/N] 	<ul style="list-style-type: none"> Y Y Information not available
PART II. ADMINISTRATIVE AND FINANCIAL MANAGEMENT CAPACITIES		
2.1 Administrative capacity (Address only questions that are relevant to the proposed project)		

¹ It is expected that organizational units overseeing the assessment of implementation capacities will enjoy the support of other organizational units who may have access to pertinent information. For instance, the Bureau of Management can provide significant support to regional bureaux when the latter assess the direct implementation capacity of country offices.

AREAS OF ASSESSMENT	SUGGESTED MEASURES TO GAUGE CAPACITY (TO SUPPLEMENT EXISTING OVERSIGHT INDICATORS)	RESPONSES
<i>Facilities, infrastructure and equipment</i>	<ul style="list-style-type: none"> Facilities and equipment available based on project requirements [Y/N] Maintenance functions assigned with budget [Y/N] 	<ul style="list-style-type: none"> Y Y
<i>Recruitment and personnel management</i>	<ul style="list-style-type: none"> Average time taken to recruit qualified personnel 	<ul style="list-style-type: none"> Fixed Term: 3-4 months; Short Contract: 2-3 months
<i>Procurement and contracting</i>	<ul style="list-style-type: none"> Number of procurement actions and their value in past year % ACP cases approved in first round 	<ul style="list-style-type: none"> Total value of PO 2016 is \$66,629,966.47 consisting of 2,1,41 POs. Not Applicable, no cases submitted to ACP
2.2 Financial management capacity		
<i>Financial management organization and personnel</i>	<ul style="list-style-type: none"> Past year approved budget within authorized spending limit [Y/N] Organizational unit on Comptroller's acclaim list [Y/N] 	<ul style="list-style-type: none"> Y N
<i>Financial position</i>	<ul style="list-style-type: none"> Financial statements available [Y/N] 	<ul style="list-style-type: none"> Y
<i>Accounting and financial reporting</i>	<ul style="list-style-type: none"> Accounting system and reporting capacity in place [Y/N] 	<ul style="list-style-type: none"> Y
<i>Audit</i>	<ul style="list-style-type: none"> Audit recommendation implementation rate satisfactory [Y/N] [FOR COUNTRY OFFICES ONLY] Audit rating for 'programme activities' satisfactory [Y/N] [FOR BDP AND RBx] Overall audit rating satisfactory [Y/N] 	<ul style="list-style-type: none"> Y Y Y

The date above may require cooperation between approving units and the Bureau of Management, as may any follow up to address capacity gaps identified by the assessment. Such cooperation will be key to appropriate follow-up to appraisal decisions.

